



Dually Interactive Matching Network for Personalized Response Selection in Retrieval-Based Chatbots

Jia-Chen Gu¹, Zhen-Hua Ling¹, Xiaodan Zhu² and Quan Liu^{1,3}

¹National Engineering Laboratory for Speech and Language Information Processing, University of Science and Technology of China

²ECE, Queen's University, ³State Key Laboratory of Cognitive Intelligence, iFLYTEK Research



Introduction

Personalized response selection is a task to select a correct response from a list of candidates considering:

(1) the context of the conversation

S1: Hello, how are you doing tonight?
S2: I am well an loving this interaction.
S1: I just got back from the club.
S2: This is my favorite season of a year.

(2) the persona of the speaker

S1: I like to dance at the club.
I like taking and posting selkies.

S2: I love to meet new people.
Autumn is my favorite season.

Problem 1: The context is treated at **coarse-grained context-level** while each utterance may play different roles.

Method: Compute similarities between each context utterance and each profile at **fine-grained utterance-level**.

Problem 2: The **interactions between the persona and each response candidate** are ignored.

Method: Add interactions between the persona and each response.

IMN-Based Persona Fusion

The representation vectors of context, context utterances and persona profiles are denoted as \mathbf{c} , $\{\mathbf{u}_m\}_{m=1}^{n_c}$ and $\{\mathbf{p}_n\}_{n=1}^{n_p}$ respectively.

Context-level persona fusion:

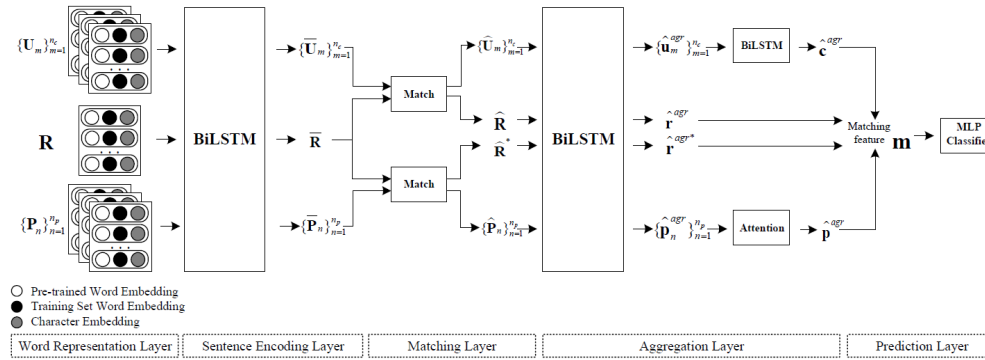
$$\mathbf{c}^+ = \mathbf{c} + \sum_n \text{Softmax}(\mathbf{c} \cdot \mathbf{p}_n) \mathbf{p}_n$$

Utterance-level persona fusion:

$$\mathbf{u}_m^+ = \mathbf{u}_m + \sum_n \text{Softmax}(\mathbf{u}_m \cdot \mathbf{p}_n) \mathbf{p}_n$$

$$\mathbf{c}^+ = \text{Aggregation}(\{\mathbf{u}_m^+\}_{m=1}^{n_c})$$

Dually Interactive Matching Network



To **add another interactive matching between the persona and each response**, we formulate the task as a **dual matching problem**.

(1) Word Representation Layer: general, task-specific and character embeddings.

(2) Sentence Encoding Layer: a single-layer BiLSTM to encode each utterance, response and each profile **separately**.

(3) Matching Layer: a **cross-attention** module to derive the matching information by the interactive matching **between the context and response**, and that **between the persona and response**.

(4) Aggregation Layer: to convert matching matrices into the final matching vector.

(5) Prediction Layer: a MLP classifier to return a score denoting the matching degree.

Experiments

	Self Persona				Their Persona			
	Original		Revised		Original		Revised	
	hits@1	MRR	hits@1	MRR	hits@1	MRR	hits@1	MRR
IR baseline	41.0 (+19.6)	-	20.7 (-0.7)	-	18.1 (-3.3)	-	18.1 (-3.3)	-
Starspace	48.1 (+16.3)	-	32.2 (+0.4)	-	24.5 (-7.3)	-	26.1 (-5.7)	-
Profile	47.3 (+15.5)	-	35.4 (+3.6)	-	28.3 (-3.5)	-	29.4 (-2.4)	-
KV Profile	51.1 (+16.2)	-	35.1 (+0.2)	-	29.1 (-5.8)	-	28.9 (-6.0)	-
FT-PC	-	-	60.7 (-)	-	-	-	-	-
IMN _{ctx}	64.3 (+0.5)	76.2 (+0.4)	63.8 (+0.0)	75.8 (+0.0)	63.7 (-0.1)	75.8 (+0.0)	63.5 (-0.3)	75.7 (-0.1)
IMN _{utr}	66.7 (+2.9)	78.1 (+2.3)	64.0 (+0.2)	76.0 (+0.2)	63.9 (+0.1)	75.9 (+0.1)	63.7 (-0.1)	75.7 (-0.1)
DIM	78.8 (+15.0)	86.7 (+10.9)	70.7 (+6.9)	81.2 (+5.4)	64.0 (+0.2)	76.1 (+0.3)	63.9 (+0.1)	76.0 (+0.2)

Dataset: **PERSONA-CHAT**

Baseline IMN_{ctx}: IMN-based persona fusion at the context-level

Baseline IMN_{utr}: IMN-based persona fusion at the utterance-level

Numbers in parentheses indicate gains or losses after adding the persona conditions. DIM outperformed its baseline IMN_{ctx} by a margin of **14.5%** and previous models by margins larger than **27.7%** in terms of hits@1 conditioned on original self personas.

Analysis

	hits@1	MRR
DIM	78.8	86.7
- persona	63.8	75.8
- context	48.8	60.9

(1) **Ablation tests** of removing either **persona-response** matching or **context-response** matching in DIM show both contribute.

Train	Test	
	Original	Revised
Original	78.8	66.3
Revised	77.6	70.7

(2) **Transfer tests** were conducted by training and evaluating using **mismatched** types of personas.

Conclusion

In this paper, we formulate the task of personalized response selection as a dual matching problem. A new model named Dually Interactive Matching Network (DIM) is proposed, which achieves a new state-of-the-art performance on PERSONA-CHAT set.

Reference

Zhang S, Dinan E, Urbanek J, Szlam A, Kiela D, and Weston J. Personalizing dialogue agents: I have a dog, do you have pets too? ACL' 18
Gu J, Ling Z and Liu Q. Interactive matching network for multi-turn response selection in retrieval-based chatbots. CIKM' 19

Contact

Email: gujc@mail.ustc.edu.cn
Github: github.com/JasonForJoy/DIM
Homepage: home.ustc.edu.cn/~gujc/

